



Australian Government

Department of Education, Employment and Workplace Relations

TLIP3020A Sentence records

Release: 1

TLIP3020A Sentence records

Modification History

Not Applicable

Unit Descriptor

Unit Descriptor

This unit involves the skills and knowledge required to sentence records within a workplace records system in accordance with workplace requirements including identifying records for sentencing, examining records for sentencing, selecting disposal status for records, and recording the disposal status. Licensing, legislative, regulatory or certification requirements are applicable to this unit.

Application of the Unit

Application of the Unit

Work must be carried out in accordance with regulations and workplace requirements relevant to a records management process.

Work is generally performed under some supervision, within a team/group environment. It involves the application of regulatory requirements and workplace procedures to sentence records as part of record management processes in the transport, warehousing, distribution and/or storage industries.

Licensing/Regulatory Information

Refer to Unit Descriptor

Pre-Requisites

Not Applicable

Employability Skills Information

Employability Skills This unit contains employability skills.

Elements and Performance Criteria Pre-Content

Elements describe the essential outcomes of a unit of competency.

Performance criteria describe the required performance needed to demonstrate achievement of the element. Assessment of performance is to be consistent with the evidence guide.

Elements and Performance Criteria

ELEMENT	PERFORMANCE CRITERIA
1 Identify records for sentencing	1.1 Records on which action is complete are located, removed, or copied from the active record keeping system, in accordance with organisational procedures 1.2 Records are identified from request or supervisor's instructions
2 Examine records for sentencing	2.1 Any record on which action is not complete is returned to active record keeping system in accordance with organisational procedures 2.2 Activity, group of related activities, or groups of transactions documented by the records are correctly identified at the appropriate level in accordance with organisational procedures 2.3 Client is consulted concerning sentencing date and proposed actions for records is confirmed by client
3 Select disposal status for records	3.1 The disposal class is identified for the record in the records authorised schedule applicable to the activity or transactions 3.2 Records are identified for further periods of retention, calculated in accordance with organisational procedures or for immediate destruction 3.3 The items identified for immediate destruction are separated from the rest of the body of records 3.4 Items which cannot be sentenced are handled in accordance with organisational disposal procedures
4 Record the disposal status	4.1 The disposal status, disposal action and date of disposal action are recorded with the record keeping system in accordance with organisational disposal procedures and record keeping systems rules

Required Skills and Knowledge

REQUIRED KNOWLEDGE AND SKILLS

This describes the essential knowledge and skills and their level required for this unit.

Required knowledge:

- Regulations relevant to the sentencing of records as part of a records management process
- Relevant OH&S and environmental protection procedures and guidelines
- Workplace procedures and policies for the sentencing of records including policies on confidentiality and security of information and records
- Focus of operation of work systems, equipment, management and site operating systems for

REQUIRED KNOWLEDGE AND SKILLS

the sentencing of records as part of a records management process

- Problems that may occur when sentencing records and appropriate action that can be taken to resolve the problems
- Operational workflow within a records management system
- Types of equipment used in the sentencing of records and the precautions and procedures that should be followed in their use
- Housekeeping standards and procedures required in the workplace
- Site layout and obstacles

Required skills:

- Communicate effectively with others when sentencing records
- Read and interpret instructions, procedures and information relevant to the sentencing of records
- Interpret and follow operational instructions and prioritise work
- Complete documentation related to the sentencing of records
- Operate electronic communication equipment to required protocol
- Work collaboratively with others when sentencing records
- Adapt appropriately to cultural differences in the workplace, including modes of behaviour and interactions with others
- Promptly report and/or rectify any identified problems that may occur when sentencing records in accordance with regulatory requirements and workplace procedures
- Apply precautions and required action to minimise, control or eliminate hazards that may exist during work activities
- Plan own work including predicting consequences and identifying improvements
- Monitor work activities in terms of planned schedule
- Modify activities depending on differing operational contingencies, risk situations and environments
- Work systematically with required attention to detail without injury to self or others, or damage to goods or equipment
- Use a range of information technology devices including computers, radio frequency devices, electronic data exchange systems, etc.
- Maintain security and confidentiality of material
- Identify, select and efficiently and effectively use equipment for the sentencing of records
- Adapt to differences in equipment in accordance with standard operating procedures
- Select and use required personal protective equipment conforming to industry and OH&S standards

Evidence Guide

EVIDENCE GUIDE

The evidence guide provides advice on assessment and must be read in conjunction with the performance criteria, required knowledge and skills, the range statement and the assessment guidelines for this Training Package.

Critical aspects for assessment and evidence required to demonstrate competency in this unit

- The evidence required to demonstrate competency in this unit must be relevant to and satisfy all of the requirements of the elements and performance criteria of this unit and include demonstration of applying:
 - the underpinning knowledge and skills
 - relevant legislation and workplace procedures
 - other relevant aspects of the range statement

Context of and specific resources for assessment

- Performance is demonstrated consistently over a period of time and in a suitable range of contexts
- Resources for assessment include:
 - a range of relevant exercises, case studies and/or other simulated practical and knowledge assessment, and/or
 - access to an appropriate range of relevant operational situations in the workplace
- In both real and simulated environments, access is required to:
 - relevant and appropriate materials and equipment, and
 - applicable documentation including workplace procedures, regulations, codes of practice and operation manuals

Method of assessment

- Assessment of this unit must be undertaken by a registered training organisation
- As a minimum, assessment of knowledge must be conducted through appropriate written/oral tests
- Practical assessment must occur:
 - through activities in an appropriately simulated environment at the registered training organisation, and/or
 - in an appropriate range of situations in the workplace

Range Statement

RANGE STATEMENT

The range statement relates to the unit of competency as a whole. It allows for different work environments and situations that may affect performance.

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| Work may be conducted: | <ul style="list-style-type: none">• in a range of work environments• by day or night |
| Customers may be: | <ul style="list-style-type: none">• internal or external |
| Workplaces may comprise: | <ul style="list-style-type: none">• large, medium or small worksites |
| Workplace environment may include movement of: | <ul style="list-style-type: none">• equipment• goods• products• materials• vehicular traffic |
| Records may include: | <ul style="list-style-type: none">• a simple records series (single disposal class in disposal authority)• a number of simple series; form-based records (e.g. financial or personnel transactions limited range of activities in the records)• action that is either complete or includes sentencing that may be part of the capture process• media that is paper-based, electronic or other format |
| The record sentencing process is conducted as part of: | <ul style="list-style-type: none">• records management activities with the operator using discretion and judgement within established procedures |
| Operating environment may include: | <ul style="list-style-type: none">• operating under supervision• working as a team effort• working solo• a sentencing process encompassing review with team procedures ensuring consistency |
| Hazards in the work area may include: | <ul style="list-style-type: none">• height and reach implications of storage facilities• dust and vapours• stationary and moving equipment, parts and materials• noise, light, energy sources• electrical equipment• humidity, air temperature, radiant heat• faulty racking• poorly stacked records or boxes• faulty equipment |
| Personal protective equipment may include: | <ul style="list-style-type: none">• gloves• safety headwear and footwear• safety glasses |

RANGE STATEMENT

OH&S requirements include:	• protective clothing • manual handling • protective clothing • elimination/control of hazards
Communication in the work area may include:	• phone • fax • email/internet • electronic data interchange (EDI) • RF systems • barcode readers • oral, aural or signed communications
Depending on the type of organisation concerned and the local terminology used, workplace procedures may include:	• company procedures • enterprise procedures • organisational procedures • established or standard procedures
Consultative processes may involve:	• workplace personnel including supervisors and managers • customers/clients • suppliers and contractors • union representatives • industrial relations and OH&S specialists • other professional or technical staff
Information/documents may include:	• job specifications and workplace operating procedures • relevant Australian or international standards pertaining to records management • storage specifications and requirements • manufacturers specifications for equipment/tools • supplier and/or client instructions • codes of practice including the National Standards for Manual Handling and the Industry Safety Code • relevant regulations including privacy and confidentiality requirements • award, enterprise bargaining agreement, other industrial arrangements • standards and certification requirements • emergency procedures • quality assurance standards for records management
Applicable regulations and legislation may include:	• relevant codes and regulations pertaining to records management • relevant Australian Standards relating to records management

RANGE STATEMENT

- relevant state/territory OH&S legislation
- relevant state/territory environmental protection legislation
- privacy and confidentiality legislation and regulations
- freedom of information regulations
- workplace relations regulations including equal opportunity, equal employment opportunity and affirmative action legislation
- workers compensation regulations

Unit Sector(s)

Not Applicable

Competency Field

Competency Field

P - Administration and Finance