



Australian Government

Assessment Requirements for RIIRIS402D

Carry out the risk management process

Release: 2

Assessment Requirements for RIIRIS402D Carry out the risk management process

Modification History

Release	Comment
1	This unit replaces RIIRIS402A Carry out the risk management processes
2	Required frequency and volume of evidence amended in Performance evidence. Substantial amendments made in Assessment Conditions field, including: references to Industry Sectors, assessor and subject matter expert experience requirements, how assessment should be conducted and what it should confirm.

Performance Evidence

The evidence required to demonstrate competency in this unit must be relevant to the roles within this sector's worksite operations and satisfy all of the requirements of the performance criteria, required skills and knowledge and the range statement of this unit and include evidence of the following:

- obtain and applies relevant legislation, documentation, policies and procedures
- works effectively with others to undertake and complete carrying out the risk management process that meets all of the required outcomes including:
- seeking advice and clarifying findings for unacceptable risks
- communicating to all parties relevant outcomes, work procedures and requirements for managing risk
- demonstrates completion of carrying out the risk management process that safely, effectively and efficiently meets all of the required outcomes on more than one (1) occasion including:
 - facilitating risk assessment exercises for others
 - providing clear and timely instruction and supervision by the individual of those involved in carrying out the risk management
 - providing opportunity for people to undertake hazard identification and implement action plans
 - identifying hazards which may have acute and long-term effects on people
 - applying hazard analysis to identify and score the risk
 - selecting and implementing the appropriate treatments using the hierarchy of controls, and reduce unacceptable risk
 - auditing outcomes and processes for compliance and effectiveness, and recommend changes to improve effectiveness
 - preparing written risk assessment documentation

Knowledge Evidence

The candidate must demonstrate knowledge of apply the risk management process through:

- hazards and assessing risks
- acceptability of risks
- identifying existing risk controls
- determining adequacy of current risk controls
- new potential risk controls

Assessment Conditions

- An assessor of this unit must satisfy the requirements of the NVR/AQTF or their successors; and Industry regulations for certification and licensing; and,
- this unit is best assessed in the context of this sector's work environment;
- where personal safety or environmental damage are limiting factors, assessment may occur in a simulated environment provided it is realistic and sufficiently rigorous to cover all aspects of this sector's workplace performance, including environment, task skills, task management skills, contingency management skills and job role environment skills; and,
- this unit must be assessed in compliance with relevant legislation/regulation and using policies, procedures, processes and operational manuals directly related to the industry sector for which it is being assessed; and,
- assessment may be conducted in conjunction with the assessment of other Units of Competency; and,
- assessment must confirm consistent performance can be applied in a range of relevant workplace circumstances; and,
- assessors must demonstrate the performance evidence, and knowledge evidence as outlined in this Unit of Competency, and through the minimum years of current* work experience specified below in an Industry sector relevant to the outcomes of the unit; or,
- where the assessor does not meet experience requirements a co-assessment or partnership arrangement must exist between the qualified assessor and an Industry subject matter expert. The Industry subject matter expert should hold the unit being assessed (or an equivalent unit) and/or demonstrate equivalence of skills and knowledge at the unit level. An Industry technical expert must also demonstrate skills and knowledge from the minimum years of current work experience specified below in the Industry sector, including time spent in roles related to the unit being assessed; and,
- assessor and Industry subject matter expert requirements differ depending on the Australian Qualifications Framework Level (AQF) of the qualification being assessed and/or Industry Sector as follows:

Industry sector	AQF** Level	Required assessor or Industry subject matter expert experience
Drilling, Metalliferous Mining, Coal	1	1 Year

Mining, Extractive (Quarrying) and Civil Construction	2	2 Years
Drilling, Coal Mining and Extractive (Quarrying)	3-6	3 Years
Metalliferous Mining and Civil Construction	3-6	5 Years
Other sectors	Where this Unit is being assessed outside of the Resources and Infrastructure Sectors assessor and/or Industry subject matter expert experience should be in-line with industry standards for the sector in which it is being assessed and where no Industry standard is specified should comply with any relevant regulation.	

*Assessors can demonstrate current work experience through employment within Industry in a role relevant to the outcomes of the Unit; or, for external assessors this can be demonstrated through exposure to Industry by conducting frequent site assessments across various locations.

**Where a unit is being delivered outside of a Qualification the first numeric character in the Unit code should be considered to indicate the AQF level

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=88a61002-9a21-4386-aaf8-69c76e675272>