



Australian Government

RIIRIS201E Conduct local risk control

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with RII Resources and Infrastructure Industry Training Package Version 5.0.

Application

This unit describes the skills and knowledge required to conduct local risk in the resources and infrastructure industries.

It applies to those working in operational roles. They generally work under supervision to undertake a prescribed range of functions involving known routines and procedures and take some responsibility for the quality of own work outcomes.

Licensing, legislative and certification requirements may apply to this unit and can vary between states, territories and industry sectors. Users must check requirements with relevant body before applying the unit.

Elements and Performance Criteria

ELEMENT	PERFORMANCE CRITERIA
<i>Elements describe the essential outcomes.</i>	<i>Performance criteria describe the performance needed to demonstrate achievement of the element.</i>
1. Plan and prepare for risk control	1.1 Obtain, interpret and confirm work requirements 1.2 Access, interpret and apply documentation and procedures 1.3 Inspect work area conditions to identify potential hazards 1.4 Apply risk management procedures to deal with recognised hazards according to workplace procedures 1.5 Identify the type and scope of unresolved hazards and address their potential impact
2. Assess and identify unacceptable risk	2.1 Assess and determine the consequences of an event according to workplace procedures 2.2 Consider and determine the likelihood of the event 2.3 Identify criteria to determine the acceptability/unacceptability of the risk 2.4 Assess risk against criteria to identify if it warrants unacceptable risk status which requires action

ELEMENT	PERFORMANCE CRITERIA
	2.5 Communicate and clarify the decision to relevant personnel
3. Identify, assess and implement risk treatments	3.1 Identify and consider all possible risk treatment options 3.2 Identify options by preliminary analysis and consideration of options 3.3 Analyse options with consideration to resource requirements 3.4 Identify and select most appropriate and effective course of action 3.5 Plan and prepare the risk treatment and obtain the required resources and approval 3.6 Implement the approved risk treatment 3.7 Review the risk treatment processes
4. Complete records and reports	4.1 Communicate the risk treatment processes to relevant personnel 4.2 Complete written records and reports for hazards and actions from risk assessment

Foundation Skills

This section describes those language, literacy, numeracy and employment skills that are essential to performance but not explicit in the performance criteria.

SKILL	DESCRIPTION
Writing	Produces and completes written documents required for workplace procedures
Numeracy	Calculates resource requirements, including use of basic addition, subtraction, multiplication and division
Self-management	Monitors and minimises own exposure to worksite risks and hazards during activities

Unit Mapping Information

Supersedes and is equivalent to RIIRIS201D Conduct local risk control.

Links

Companion Volume implementation guides is found on VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=88a61002-9a21-4386-aaf8-69c76e675272>

Assessment Requirements for RIIRIS201E Conduct local risk control

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Performance Evidence

The candidate must demonstrate the ability to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including evidence of the ability to:

- conduct local risk controls on at least two occasions, including:
 - identifying unacceptable risk using the acceptability/unacceptability criteria
 - working with others to determine risk controls
 - assessing and determining the consequences and likelihood of potential risk
 - controlling risk by selecting and implementing the most appropriate controls
 - completing reports and records about the risk assessment and treatment implementation.

During the above, the candidate must:

- locate and apply relevant documentation, policies and procedures and confirm that the work activity is compliant
- implement the requirements, procedures and techniques for conducting local risk control
- work with others to conduct of local risk control
- communicate with others to receive and clarify work instructions and to determine coordination requirements prior to commencing and during work activities.

Knowledge Evidence

The candidate must be able to demonstrate knowledge to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including knowledge of:

- key policies, procedures and documentation required to conduct local risk control, including those related to:
 - work health and safety procedures
 - emergency procedures
 - organisational risk management policy and procedure requirements
 - conducting worksite risk management procedures

- conducting and maintaining worksite communication, reporting and recording procedures
- procedures and criteria for identifying and assessing hazards, risks, acceptability of risks and controls
- risk control options, including:
 - hazards elimination
 - implementation of the hierarchy of controls
- procedures for writing worksite records and reports, including:
 - hazard reporting reports
 - incident reports
 - near miss reports.

Assessment Conditions

Mandatory conditions for assessment of this unit are stipulated below. The assessment must:

- include access to:
 - personal and protective equipment
 - equipment required to conduct local risk control
 - relevant documentation
- be conducted in a safe environment; and,
- be assessed in the context of this sector's work environment; and,
- be assessed in compliance with relevant legislation/regulation and using policies, procedures and processes directly related to the industry sector for which it is being assessed; and,
- confirm consistent performance can be applied in a range of relevant workplace circumstances.

Where personal safety or environmental damage are limiting factors, assessment may occur in a simulated work environment* provided it is realistic and sufficiently rigorous to cover all aspects of this sector's workplace performance, including environment, task skills, task management skills, contingency management skills and job role environment skills.

Assessor requirements

Assessors must be able to clearly demonstrate current and relevant industry knowledge and experience to satisfy the mandatory regulatory standards as set out in the Standards for Registered Training Organisations (RTOs) 2015/Australian Quality Training Framework mandatory requirements for assessors current at the time of assessment and any relevant licensing and certification requirements. This includes:

- vocational competencies at least to the level being delivered and assessed
- current industry skills directly relevant to the training and assessment being provided
- current knowledge and skills in vocational training and learning that informs their training and assessment
- formal relevant qualifications in training and assessment
- having knowledge of and/or experience using the latest techniques and processes

- possessing the required level of RII training product knowledge
- having an understanding and knowledge of legislation and regulations relevant to the industry and to employment and workplaces
- demonstrating the performance evidence, and knowledge evidence outlined in this unit of competency, and
- the minimum years of current** work experience after competency has been obtained as specified below in an industry sector relevant to the outcomes of the unit.

It is also acceptable for the appropriately qualified assessor to work with an industry expert to conduct assessment together and for the industry expert to be involved in the assessment judgement. The industry expert must have current industry skills directly relevant to the training and assessment being provided. This means the industry subject matter expert must demonstrate skills and knowledge from the minimum years of current work experience after competency has been obtained as specified below, including time spent in roles related to the unit being assessed:

Industry sector	AQF indicator level***	Required assessor or industry subject matter expert experience
Drilling, Metalliferous Mining, Coal Mining, Extractive (Quarrying) and Civil Infrastructure	1	1 year
	2	2 years
Drilling, Coal Mining, Extractive (Quarrying), Metalliferous Mining and Civil Infrastructure	3-6	3 years
Other sectors	Where this unit is being assessed outside of the resources and infrastructure sectors assessor and/or industry subject matter expert experience should be in-line with industry standards for the sector in which it is being assessed and where no industry standard is specified should comply with any relevant regulation.	

*Guidance on simulated environments has been stipulated in the Companion Volume Implementation Guide located on VETNet.

**Assessors can demonstrate current work experience through employment within industry in a role relevant to the outcomes of the unit; or, for external assessors this can be demonstrated through exposure to industry by conducting a minimum number of site assessments as determined by the relevant industry sector, across various locations.

*** While a unit of competency does not have an AQF level, where a unit is being delivered outside of a qualification the first numeric character in the unit code should be considered as the AQF indicator level for assessment purposes.

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