



Australian Government

RIIRIS201D Conduct local risk control

Release: 2

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Modification History

Release	Comment
1	This unit replaces RIIRIS201B Conduct local risk control
2	Required frequency and volume of evidence amended in Performance evidence. Substantial amendments made in Assessment Conditions field, including: references to Industry Sectors, assessor and subject matter expert experience requirements, how assessment should be conducted and what it should confirm.

Application

This unit describes a participant's skills and knowledge required to conduct local risk control in the Resources and Infrastructure Industries.

This unit is appropriate for those working in operational roles.

No licensing, legislative or certification requirements apply to this unit at the time of publication.

Elements and Performance Criteria

1 Plan and prepare for risk control	1.1 Access, interpret and apply risk management documentation and ensure the work activity is compliant 1.2 Inspect work area conditions to identify potential hazards 1.3 Apply risk management procedures to deal with recognised hazards 1.4 Recognise the type and scope of unresolved hazards and their likely impact
2 Assess and identify unacceptable risk	2.1 Assess and determine consequence of an event 2.2 Consider and determine likelihood of the event 2.3 Identify criteria for the acceptability/unacceptability of the risk 2.4 Assess risk against criteria to identify if it warrants 'unacceptable risk' status and action 2.5 Effectively communicate and clarify the decision to others
3 Identify, assess and implement risk	3.1 Identify and consider all possible risk treatment options

treatments	3.2 Identify options by preliminary analysis and consideration of options 3.3 Analyse options, including resource requirements 3.4 Select most appropriate and effective course of action 3.5 Plan and prepare the course of action in detail and acquire/obtain required resources and approval 3.6 Implement the approved risk treatment 3.7 Review risk management processes
4 Complete records and reports	4.1 Effectively communicate accurate information to others on the course of action and implementation 4.2 Complete written records and reports for hazards and actions from personal risk assessment

Foundation Skills

Foundation skills essential to performance are explicit in the performance criteria of this unit. Further information is available in the Resources and Infrastructure Industry Training Package Companion Volume.

Unit Mapping Information

RIIRIS201B Conduct local risk control

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=88a61002-9a21-4386-aaf8-69c76e675272>

Assessment Requirements for RIIRIS201D Conduct local risk control

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Performance Evidence

Evidence is required to be collected that demonstrates a candidate's competency in this unit. Evidence must be relevant to the roles within this sector's work operations and satisfy all of the requirements of the performance criteria of this unit and include evidence that the candidate:

- locate and apply relevant documentation, policies and procedures
- works effectively with others to undertake and complete conducting of local risk control including:
 - communicating clearly and concisely with others to receive and clarify treatment information
 - communicating clearly and concisely the likelihood and consequence of an identified risk
- demonstrates completion of conducting local risk control that safely, effectively and efficiently meets all of the required outcomes on more than one (1) occasion including:
 - identifying 'unacceptable risk' using the acceptability/unacceptability criteria
 - working with others to determine risk controls
 - assessing and determining consequence and likelihood of potential risk
 - controlling risk by selecting and implementing most appropriate treatment
 - reporting written information about risk assessment and treatment implementation

Knowledge Evidence

The candidate must demonstrate knowledge in conducting local risk control through:

- accessing, interpreting and applying the organisation and site requirements and procedures for:
 - organisation risk management policy, procedure requirements

- conducting worksite risk management procedures
- conducting and maintaining worksite communication, reporting and recording procedures
- identifying and assessing hazards, risks, acceptability of risks and controls
- reading, preparing and using worksite safety systems information

Assessment Conditions

- An assessor of this unit must satisfy the requirements of the NVR/AQTF or their successors; and Industry regulations for certification and licensing; and,
- this unit is best assessed in the context of this sector's work environment;
- where personal safety or environmental damage are limiting factors, assessment may occur in a simulated environment provided it is realistic and sufficiently rigorous to cover all aspects of this sector's workplace performance, including environment, task skills, task management skills, contingency management skills and job role environment skills; and,
- this unit must be assessed in compliance with relevant legislation/regulation and using policies, procedures, processes and operational manuals directly related to the industry sector for which it is being assessed; and,
- assessment may be conducted in conjunction with the assessment of other Units of Competency; and,
- assessment must confirm consistent performance can be applied in a range of relevant workplace circumstances; and,
- assessors must demonstrate the performance evidence, and knowledge evidence as outlined in this Unit of Competency, and through the minimum years of current* work experience specified below in an Industry sector relevant to the outcomes of the unit; or,
- where the assessor does not meet experience requirements a co-assessment or partnership arrangement must exist between the qualified assessor and an Industry subject matter expert. The Industry subject matter expert should hold the unit being assessed (or an equivalent unit) and/or demonstrate equivalence of skills and knowledge at the unit level. An Industry technical expert must also demonstrate skills and knowledge from the minimum years of current work experience specified below in the Industry sector, including time spent in roles related to the unit being assessed; and,
- assessor and Industry subject matter expert requirements differ depending on the Australian Qualifications Framework Level (AQF) of the qualification being assessed and/or Industry Sector as follows:

Industry sector	AQF** Level	Required assessor or Industry subject matter expert experience
Drilling, Metalliferous Mining, Coal Mining, Extractive (Quarrying) and Civil Construction	1	1 Year
	2	2 Years
Drilling, Coal Mining and Extractive (Quarrying)	3-6	3 Years

Metalliferous Mining and Civil Construction	3-6	5 Years
Other sectors	Where this Unit is being assessed outside of the Resources and Infrastructure Sectors assessor and/or Industry subject matter expert experience should be in-line with industry standards for the sector in which it is being assessed and where no Industry standard is specified should comply with any relevant regulation.	

*Assessors can demonstrate current work experience through employment within Industry in a role relevant to the outcomes of the Unit; or, for external assessors this can be demonstrated through exposure to Industry by conducting frequent site assessments across various locations.

**Where a unit is being delivered outside of a Qualification the first numeric character in the Unit code should be considered to indicate the AQF level

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