



Australian Government

Department of Education, Employment and Workplace Relations

PSPTRAN407 Intercept vehicles and assess driver compliance

Release: 1

PSPTRAN407 Intercept vehicles and assess driver compliance

Modification History

Release	TP Version	Comments
1	PSP12V1	Primary release.

Unit Descriptor

This unit describes the performance outcomes, skills and knowledge required to intercept vehicles and assess driver compliance with legislative requirements. Licensing, legislative, regulatory or certification requirements apply to this unit at the time of endorsement

Application of the Unit

This unit applies to individuals in road transport regulatory roles who are authorised under enabling legislation to intercept vehicles and assess driver compliance with regulatory requirements.

It includes checking equipment, intercepting vehicles, assessing driver compliance and acting on any non-compliances found. Knowledge of driver compliance requirements, chain of responsibility legislation and offences under all applicable legislation must be applied. The ability to communicate effectively with drivers, deal with conflict and maintain operational safety at all times are important aspects of the application of this unit of competency.

Licensing/Regulatory Information

Not applicable.

Pre-Requisites

Not applicable.

Employability Skills Information

This unit contains employability skills.

Elements and Performance Criteria Pre-Content

Elements are the essential outcomes of the unit of competency.

Together, performance criteria specify the requirements for competent performance. Text in ***bold italics*** is explained in the Range Statement following.

Elements and Performance Criteria

- | | |
|--|--|
| 1) ELEMENTS | 2) PERFORMANCE CRITERIA |
| 3) 1 Check and use compliance equipment | 4) 1.1 Equipment is checked in accordance with organisational policies and procedures and manufacturers' specifications.
5) 1.2 Equipment is accurately calibrated to meet legal requirements.
6) 1.3 Equipment is operated in accordance with standards and procedures. |
| 7) 2 Intercept vehicles | 8) 2.1 Interceptions and procedures are planned with co-workers to ensure compliance with legislation, codes of practice, organisational procedures and standards.
9) 2.2 Safe locations are selected for each interception in accordance with organisational policy and workplace health and safety requirements.
10) 2.3 Clear and meaningful signals are given to drivers of target vehicles to stop their vehicles in accordance with legislation, policies and procedures.
11) 2.4 Agency policy requirements for intercepting vehicles are complied with.
12) 2.5 Occupational health and safety requirements are followed to prevent injury or damage. |
| 13) 3 Assess driver compliance | 14) 3.1 Required driver records are verified.
15) 3.2 Nature of any offences are reported to the driver in accordance with legal and organisational requirements.
16) 3.3 Operational safety is maintained at all times.
17) 3.4 Contingency management processes are implemented for any unplanned events in accordance with organisational policy and procedures. |
| 18) 4 Act on driver non-compliance | 19) 4.1 Action is undertaken to achieve compliance in accordance with legislation, policy and procedures.
20) 4.2 Operators/responsible parties may be informed of the action, justification for it and any rights of appeal in accordance with legal requirements and organisational procedures.
21) 4.3 Necessary records/paperwork are completed in accordance with legal and operational requirements. |
| 22) | |

Required Skills and Knowledge

This section describes the essential skills and knowledge and their level, required for this unit.

Skill requirements

- communication skills to:
 - question and negotiate in sometimes difficult situations
 - inform of and explain offences and penalties
 - relate to persons from diverse backgrounds
- planning and organising skills to:
 - check equipment
 - undertake safe interception of vehicles.
- literacy skills to:
 - read complex legislation, regulations and standards
 - complete required paperwork and records
- self-management skills to:
 - apply requirements from legislation, regulations, policies and standards to assess driver compliance
 - maintain operational safety
- technical skills to:
 - operate equipment

Knowledge requirements

- organisational policies and procedures
- legislation and regulations
- operational safety in the context of assessing compliance
- enforcement powers
- sanctions/penalties
- offences
- cautions/warnings

Evidence Guide

The Evidence Guide specifies the evidence required to demonstrate achievement in the unit of competency as a whole. It must be read in conjunction with the Unit descriptor, Performance Criteria, the Range Statement and the Assessment Guidelines for the Public Sector Training Package.

Critical aspects for assessment and evidence required to demonstrate competency in this unit

In addition to integrated demonstration of the elements and their related performance criteria, look for evidence that confirms consistency of performance in intercepting vehicles and assessing driver compliance.

This will include evidence of:

- intercepting vehicles and assessing driver compliance in actual or simulated circumstances on at least three separate occasions or in three different situations/contexts
- using equipment under operational conditions
- maintaining operational safety at all times
- adhering to legal, ethical and organisational requirements relating to the interception of vehicles and assessment of driver compliance
- enforcing legislation within the limits of the officer's authority

Context of and specific resources for assessment

Assessment must ensure access to a real or simulated workplace environment that closely resembles normal work practice and replicates the range of conditions likely to be encountered while intercepting vehicles and assessing driver compliance, including coping with difficulties, irregularities and breakdowns in routine. Access is required to resources such as:

- applicable legislation, policies and procedures
- case studies and workplace scenarios to capture the range of requirements for situations likely to be encountered
- vehicles, equipment and materials generally present in an operational environment
- personal protective equipment

Method of assessment

Assessment methods suitable for valid and reliable assessment of this unit of competency may include, but are not limited to, a combination of 2 or more of:

- case studies
- demonstration
- observation
- written or oral questions
- scenarios
- simulation or role plays
- authenticated evidence from the workplace and/or training courses

**Guidance information
for assessment**

Assessment methods should reflect workplace demands, such as literacy, and the needs of particular groups, such as:

- people with disabilities
- people from culturally and linguistically diverse backgrounds
- Aboriginal and Torres Strait Islander people
- women
- young people
- older people
- people in rural and remote locations

Range Statement

The Range Statement provides information about the context in which the unit of competency is carried out. The variables cater for differences between States and Territories and the Commonwealth, and between organisations and workplaces. They allow for different work requirements, work practices and knowledge. The Range Statement also provides a focus for assessment. It relates to the unit as a whole. Text in bold italics in the Performance Criteria is explained here.

<i>Equipment</i> may include:	• speed detection equipment • measuring equipment
<i>Locations</i> may include:	• roadside locations: • urban • regional • high volume city locations • operator's premises • interception sites • checking stations • vehicle inspection centres
<i>Driver records</i> may include:	• licence • permits and notices • work diary • driver logbook and associated paperwork • working hours • business records • management system • transport and journey documentation • approved scheme documentation
<i>Offences</i> may include:	• exceeding statutory speed limit • working hours non-compliance • breaches of Australian road rules • breaches of transport acts/regulations/policy/approved schemes • forming the basis for follow-up investigation for chain of responsibility compliance assessment
<i>Contingency management processes</i> may include:	• verbal tactics to diffuse a situation • use of defensive tactics • strategic withdrawal • seeking assistance • calling police
<i>Action on non-compliance</i> may include:	• immediate rectification of minor problems • caution/warning • infringement notices/sanctions/penalties

	• offence reports • prohibition notices • issuing directions (eg cease driving/take a rest period etc)
Necessary paperwork or records may include:	• National Heavy Vehicle Accreditation Scheme (NHVAS) interception books • penalty infringement & notices • incident/offence reports • traffic infringement notices • formal cautions/warnings • permits and notices

Unit Sector(s)

Not applicable.

Custom Content Section

Road Transport Compliance