



**Australian Government**

# **PSPREG048 Evaluate regulatory compliance**

**Release: 1**

# PSPREG048 Evaluate regulatory compliance

## Modification History

Supersedes and is not equivalent to PSPREG028 Evaluate regulatory compliance.

## Application

This unit describes the performance outcomes, skills and knowledge required to evaluate compliance outcomes, and review regulatory policies, procedures and legislation to improve compliance outcomes.

This unit applies to those working in management roles with responsibility for regulatory officers who enforce and promote compliance with the organisation's enabling legislation.

The skills in this unit must be applied in accordance with Commonwealth and State or Territory legislation, Australian standards and industry codes of practice.

No occupational licensing, certification or specific legislative requirements apply to this unit at the time of publication.

## Pre-requisite Unit

Nil

## Competency Field

Regulatory

## Elements and Performance Criteria

### ELEMENTS

### PERFORMANCE CRITERIA

*Elements describe the essential outcomes*

*Performance criteria describe the performance needed to demonstrate achievement of the element.*

1. Develop compliance measures and targets.

1.1. Develop compliance measures that are valid, reliable, sufficient and both time and cost effective.  
1.2. Develop realistic measures and targets underpinned by current information.

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|----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2. Evaluate extent of compliance.                                                      | 2.1. Ensure analysis of compliance data is valid and conclusions are unbiased and supported by evidence.<br>2.2. Ensure interpretation of comparative data over time is valid and reliable and record outcomes.<br>2.3. Report compliance outcomes.                                                                                                                                               |
| 3. Review procedures and guidelines to improve compliance.                             | 3.1. Draw upon sources of information representing the breadth of possible stakeholders to ensure review is comprehensive.<br>3.2. Identify possible reasons for not meeting targets or performance indicators and make recommendations to improve long term voluntary compliance.<br>3.3. Adjust strategies and plans to address review recommendations in accordance with resource constraints. |
| 4. Contribute to the development of new legislation or review of existing legislation. | 4.1. Provide input that supports government policy directions and relies on current information with supporting rationale and data analysis.<br>4.2. Report resource implications of legislative recommendations within government policy requirements.                                                                                                                                           |

## Foundation Skills

Foundation skills essential to performance are explicit in the performance criteria of this unit of competency.

## Unit Mapping Information

Supersedes and is not equivalent to PSPREG028 Evaluate regulatory compliance.

## Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=bebbece7-ff48-4d2c-8876-405679019623>

# Assessment Requirements for PSPREG048 Evaluate regulatory compliance

## Modification History

Supersedes and is not equivalent to PSPREG028 Evaluate regulatory compliance.

## Performance Evidence

Evidence of the ability to complete tasks outlined in elements and performance criteria of this unit in the context of the job role, and:

- conduct one review of compliance activities in a compliance work area or business unit in area of jurisdiction over a six-month period and:
  - analyse complex information related to regulatory compliance
  - prepare a summary of findings of effectiveness of compliance measures and targets
  - incorporate recommendation for corrective actions and associated costings.

## Knowledge Evidence

Demonstrated knowledge required to complete the tasks outlined in elements and performance criteria of this unit:

- enabling legislation and regulations relevant to area of jurisdiction
- government policies and legislation that apply to the function of the work group
- principles of evaluation
- organisational policies, procedures and guidelines for regulatory compliance.

## Assessment Conditions

Skills must be demonstrated in the workplace or in a simulated environment that reflects workplace conditions.

Assessment must ensure access to:

- legislation, regulation and government policy relating to regulatory compliance
- organisational policies, procedures and guidelines for regulatory compliance in area of jurisdiction
- resources and equipment of the working environment.

Assessors must satisfy the Standards for Registered Training Organisations' requirements for assessors.

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