



Australian Government

Assessment Requirements for PSPREG034

Assess compliance

Release: 1

Assessment Requirements for PSPREG034 Assess compliance

Modification History

Supersedes and is not equivalent to PSPREG005 Assess compliance.

Performance Evidence

Evidence of the ability to complete tasks outlined in elements and performance criteria of this unit in the context of the job role, and on at least two occasions:

- complete records for the referral of non-compliance and describe the action required
- identify evidence requirements necessary to support a decision taken in the legislative framework and explain the methodology, evidence collected and the resulting strength of the decision.

Knowledge Evidence

Demonstrated knowledge required to complete the tasks outlined in elements and performance criteria of this unit:

- legislation and regulations relating to compliance for area of jurisdiction
- organisational policies, procedures and guidelines, including those related to:
 - recording allegations and complaints
 - secure storage of evidence and information
 - specialist equipment
 - own and other jurisdictions
- risk management in the context of compliance assessment
- application of audit tools
- research and sampling methodologies
- principles of evidence-based decision-making including:
 - nature of evidence
 - significance of methodology used for gathering evidence
 - evidence requirements in assessing compliance.

Assessment Conditions

Skills must be demonstrated in the workplace or in a simulated environment that reflects workplace conditions.

Assessment must ensure access to:

- legislation, and regulations relating to compliance for area of jurisdiction
- organisational policies, procedures and guidelines
- resources and equipment of the working environment.

Assessors must satisfy the Standards for Registered Training Organisations' requirements for assessors.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=bebbece7-ff48-4d2c-8876-405679019623>