



Australian Government

PSPFRU014 Develop fraud control strategy

Release: 1

PSPFRU014 Develop fraud control strategy

Modification History

Supersedes and is equivalent to PSPFRU009 Develop fraud control strategy.

Application

This unit describes the performance outcomes, skills and knowledge required to develop a fraud and corruption control strategy as an integral part of the broader corporate management strategy for an organisation.

This unit applies to those working autonomously in roles involving the development of strategies to control fraud and corruption within their organisation, performing complex tasks in a range of familiar contexts.

The skills in this unit must be applied in accordance with Commonwealth and State or Territory legislation, Australian standards and industry codes of practice.

No occupational licensing, certification or specific legislative requirements apply to this unit at the time of publication.

Pre-requisite Unit

Nil

Competency Field

Fraud control

Elements and Performance Criteria

ELEMENTS

Elements describe the essential outcomes

PERFORMANCE CRITERIA

Performance criteria describe the performance needed to demonstrate achievement of the element.

1. Identify organisational needs and obligations.
 - 1.1. Research fraud and corruption obligations of the organisation.
 - 1.2. Identify all reporting requirements.
 - 1.3. Identify organisational fraud and corruption detection data analysis and information needs in line with organisational policies and procedures.
 - 1.4. Incorporate a strategic management approach to the prevention of corruption and fraudulent activities in the needs identification.
 - 1.5. Identify organisational responsibility for implementation of the organisation's strategy and seek senior management agreement.
 - 1.6. Identify organisational responsibility for the protection of persons who provide information under legislation related to public interest disclosures, protected disclosures or whistleblowing legislation.
2. Benchmark fraud and corruption control strategy.
 - 2.1. Identify performance indicators.
 - 2.2. Use best practice examples in the development of benchmarks.
 - 2.3. Use standards-setting organisations to assist in the identification of benchmarks relevant to the organisation.
3. Assess stakeholder and environmental considerations.
 - 3.1. Incorporate client entitlements, including right to privacy, confidentiality, freedom from reprisals and freedom of information.
 - 3.2. Consider issues, including profile of clients, corporate history and culture and staffing profile.
 - 3.3. Consider constraints of operating environment, including staff shortages, geographical spread of staff and budgeting constraints.
 - 3.4. Consider client and community expectations.
 - 3.5. Identify management perspectives on the implementation of fraud and corruption control in the organisation.

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| 4. Develop organisation's fraud and corruption control strategy. | <ul style="list-style-type: none">4.1. Achieve balance between compliance requirements and operational pressures.4.2. Identify strategies to enable constant updating of the fraud and corruption control strategy.4.3. Incorporate key factors, including the organisational environment and core business.4.4. Recommend strategies based on assessment of relevant factors.4.5. Incorporate quality assurance into the strategy and allocate responsibility for maintaining quality assurance.4.6. Document the strategy in accordance in required format and style for acceptance for implementation.4.7. Obtain acceptance of the strategy ensuring it meets the requirements of the relevant standards-setting organisations. |
| 5. Implement fraud and corruption control strategy. | <ul style="list-style-type: none">5.1. Develop initiatives required to minimise fraud and corruption in consultation with stakeholders.5.2. Identify timeframe for implementation of strategy based upon an understanding of resource ramifications.5.3. Identify areas within the organisation responsible for actions to minimise fraud and corruption.5.4. Develop procedures for the reporting of information and the protection of persons who provide information under public interest disclosures, protected disclosures or whistleblowing legislation.5.5. Undertake consultations to facilitate understanding of responsibilities. |
| 6. Analyse and review effectiveness of strategy. | <ul style="list-style-type: none">6.1. Develop a process to review key features of the strategy.6.2. Establish feedback and reporting mechanisms to provide information about effectiveness of the strategy measured against objectives, and to provide advice regarding changes to management practices.6.3. Incorporate results of analysis and review to improve fraud and corruption control. |

Foundation Skills

Foundation skills essential to performance in this unit, but not explicit in the performance criteria are listed here, along with a brief context statement.

SKILLS

DESCRIPTION

- Reading skills to:
- select and interpret texts relevant to fraud and corruption control.
- Writing skills to:
- communicate complex ideas relating to strategic direction, matching style of writing to purpose and audience.
- Oral communication skills to:
- participate in a variety of spoken exchanges with a range of audiences, varying structure and language to suit the audience
 - articulate policies, plans results and related information about the fraud and corruption control strategy.

Unit Mapping Information

Supersedes and is equivalent to PSPFRU009 Develop fraud control strategy.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=bebbece7-ff48-4d2c-8876-405679019623>

Assessment Requirements for PSPFRU014 Develop fraud control strategy

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Performance Evidence

Evidence of the ability to complete tasks outlined in elements and performance criteria of this unit in the context of the job role, and develop at least one strategy incorporating:

- analysis and development of a fraud and corruption control strategy
- essential attributes of an effective fraud and corruption control policy
- consultation with senior management regarding the integration of the fraud and corruption control strategy with the broader corporate objectives.

Knowledge Evidence

Demonstrated knowledge required to complete the tasks outlined in elements and performance criteria of this unit:

- the concepts of fraud and corruption risk management
- risk management standards and techniques
- risk profile of the agency
- agency corporate objectives and policy planning processes
- the relationship between the fraud and corruption control strategy and the corporate goals and management practice of the agency
- control framework operating in the agency
- jurisdictional fraud and corruption control requirements
- external reporting requirements.

Assessment Conditions

Skills must be demonstrated in the workplace or in a simulated environment that reflects workplace conditions.

Assessment must ensure access to:

- legislation, policies and procedures relating to fraud and corruption control
- public sector values, codes of conduct, guidelines and standards relating to fraud and corruption control
- resources and equipment of the working environment.

Assessors must satisfy the Standards for Registered Training Organisations' requirements for assessors.

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