



Australian Government

Assessment Requirements for FWPCOT6202 Develop and manage a forestry chain of custody certification process

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Modification History

Release	Comment
1	Replaces equivalent unit FPICOT6202B Develop and manage a forestry chain of custody certification process for the workplace which was first released with FPI11 Forest and Forest Products Training Package Version 2.2. Assessment requirements now specify the performance and knowledge evidence, as well as assessment conditions for this unit of competency. These sections simplify and replace components used in the previous unit format, including 'Required Skills and Knowledge, Evidence Guide and Range Statement'.

Performance Evidence

A person demonstrating competency in this unit must satisfy all of the elements, performance criteria and foundation skills of this unit, and must be able to provide evidence that they can:

- Investigate certification requirements for a given forestry industry organisation against:
 - Australian Standard (AS) 47072006 Chain of custody for certified wood and forest products
 - Forestry Stewardship Council standards.
- Develop a chain of custody certification system to meet that organisation's requirements incorporating the following system components and documents:
 - values statement
 - policies and procedures for completing and maintaining traceability chain documents
 - policies and procedures for auditing processes and managing and documenting breaches
 - process for evaluating system effectiveness
 - chain of custody certification systems manual
 - strategies for promoting a positive organisational culture for chain of custody certification
 - implementation plan and budget
 - an induction and training process for auditors, system managers and operational personnel.

Knowledge Evidence

A person competent in this unit must be able to demonstrate knowledge of:

- Purpose and key content and of the Illegal Logging Prohibition Act 2012 or superseding law.
- Purpose and key content of forestry certification standards and systems:
 - Australian Standard (AS) 47072006 Chain of custody for certified wood and forest products
 - Forestry Stewardship Council.
- How a chain of custody process fits into forestry certification systems.
- Operational areas and organisational processes that are linked to certification requirements.
- Purpose, common content of and format for all chain of custody system components and documents, including:
 - values statement
 - policies and procedures for completing and maintaining traceability chain documents
 - policies and procedures for auditing processes and managing and documenting breaches
 - process for evaluating system effectiveness
 - chain of custody certification systems manual.
- Format, content and use of documents and register used for certification, audit and quality purposes, including:
 - timber source documents
 - processing records
 - quality records
 - numbering and labelling documents.
- Strategies for promoting a positive organisational culture for chain of custody certification.
- Internal audit processes, including:
 - purpose of internal audits
 - principles of auditing against a standard
 - role of internal auditors
 - requirements for and methods of responding to non-compliances and process improvements identified by audit.
- Organisational and legal requirements for reporting incidents of non-compliance.
- Format content and use of the following reports:
 - periodic reports on system operation
 - audit reports
 - reports on breaches of compliance.

Assessment Conditions

The following resources must be made available:

- Computers, keyboards, printers and software used to prepare to prepare and maintain plans, policies, procedures and reports.

- Documents, proformas and register commonly used for certification, quality, audit and reporting, including:
 - timber source documents
 - processing records
 - quality records
 - numbering and labelling documents.
 - periodic reports on system operation
 - audit reports
 - reports on breaches of compliance.
- Australian Standard (AS) 47072006 Chain of custody for certified wood and forest products.
- Forestry Stewardship Council certification documents.
- Illegal Logging Prohibition Act 2012 or superseding law or plain English documents issued by the government regulator.

Competency is to be assessed in the workplace or a simulated environment that accurately reflects performance in a real workplace setting.

Assessor requirements

Assessors must:

- Hold the appropriate assessor competency standards as outlined in regulations; and
- be able to demonstrate vocational competencies at least to the level being assessed; and
- be able to demonstrate how they are continuing to develop their VET knowledge and skills as well as maintaining their industry currency and assessor competence.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=0d96fe23-5747-4c01-9d6f-3509ff8d3d47>