



Australian Government

Assessment Requirements for FNSSUP603 Integrate investment strategy with fund operations

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 1.0.

Performance Evidence

Evidence of the ability to:

- access relevant information and sources of specialist investment advice as required
- manage investment compliance requirements and implement investment strategies
- liaise with custodian and trustees to determine procedure to implement investment strategy and monitor, evaluate and report on the investment strategy.

Note: If a specific volume or frequency is not stated, then evidence must be provided at least once.

Knowledge Evidence

To complete the unit requirements safely and effectively, the individual must:

- explain superannuation investment processes according to the needs indicated in trustee set benchmarks
- discuss options for superannuation strategies
- describe the key features of relevant legislation, regulations and codes of practice
- outline the key features of administrative processes and systems, and documentation requirements for investment strategy development
- describe a range of specialist investment services and investment vehicles
- outline the key requirements of superannuation industry legislation for trustees and investment managers
- outline contractual arrangements for specialist services
- discuss risk management strategies.

Assessment Conditions

Assessment must be conducted in a safe environment where evidence gathered demonstrates consistent performance of typical activities experienced in the superannuation field of work and include access to:

- common office equipment, technology, software and consumables
- organisational financial records
- organisational policy and procedures
- legislation and regulatory information.

Assessors must satisfy NVR/AQTF assessor requirements.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>