



Australian Government

Assessment Requirements for FNSORG411 Conduct individual work within a compliance framework

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 4.0.

Performance Evidence

The candidate must demonstrate the ability to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including evidence of the ability to:

- identify and interpret all organisational compliance requirements and map against all relevant components of own work role.

In the course of the above, the candidate must:

- complete necessary organisational records
- monitor and respond to changes in legislative, regulatory, and organisational compliance requirements.

Knowledge Evidence

The candidate must be able to demonstrate knowledge to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including knowledge of:

- legislative, regulatory, and organisational requirements relating to work practices
- key features of relevant codes of practice
- compliance requirements of organisational policy and procedures
- individual work requirements and practices
- methods to obtain information about changes to compliance requirements
- compliance framework updates and their implications
- key personnel and their roles in ensuring compliance
- professional development and training programs addressing compliance.

Assessment Conditions

Skills in this unit must be demonstrated in a workplace or simulated environment where the conditions are typical of those in a working environment in this industry.

This includes access to:

- common office equipment, technology, software and consumables
- legislation, regulatory information, and organisational policy and procedures documentation required to produce the performance evidence.

Assessors of this unit must satisfy the requirements for assessors in applicable vocational education and training legislation, frameworks and/or standards.

Links

Companion Volume Implementation Guide is found on VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>