



Australian Government

Assessment Requirements for FNSINC311 Work together in the financial services industry

Release: 1

Assessment Requirements for FNSINC311 Work together in the financial services industry

Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 5.0.

Performance Evidence

The candidate must demonstrate the ability to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including evidence of the ability to:

- work with at least three different financial services colleagues in the course of own work role.

Knowledge Evidence

The candidate must be able to demonstrate knowledge to complete the tasks outlined in the elements, performance criteria and foundation skills of this unit, including knowledge of:

- legislative and statutory requirements and industry codes of practice relating to working with others in own role, including:
 - work health and safety (WHS)
- environmental sustainability, and approaches to environmental and resource efficiency
- organisational policies, procedures and guidelines relating to own work tasks, including those relating to:
 - relevant management systems and databases
 - organisational standards of style and format
 - risk assessment and responses to workplace hazards and emergency incidents
 - organisational philosophy, values and objectives relevant to own work role
- key features of financial services industry and the way it operates
- types of financial risks in financial services
- ethical principles that apply when working in the financial services industry
- effective questioning and listening techniques
- types of communication channels to share financial information
- proprietary and/or organisational software that can be used to develop workplace documents, input and extract data, and make calculations.

Assessment Conditions

Skills in this unit must be demonstrated in a workplace or simulated environment where the conditions are typical of those in a working environment in this industry.

This includes access to:

- organisational policies, procedures, regulations and codes of practice
- organisational equipment, technology and software.

Assessors of this unit must satisfy the requirements for assessors in applicable vocational education and training legislation, frameworks and/or standards.

Links

Companion Volume Implementation Guide is found on VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>