



Australian Government

Assessment Requirements for FNSFMK510 Prepare trading strategies for clients

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 1.0.

Performance Evidence

Evidence of the ability to:

- apply compliance requirements for organisational and industry financial trading procedures
- analyse needs to develop appropriate strategies to suit circumstances using appropriate tools and techniques.

Note: If a specific volume or frequency is not stated, then evidence must be provided at least once.

Knowledge Evidence

To complete the unit requirements safely and effectively, the individual must:

- compare and contrast financial products that can be traded
- outline a range of analytical tools and methodologies that can be used to analyse market opportunities, including quantitative and qualitative approaches
- determine the relevant financial risk management factors at a client and organisational level, and discuss how to incorporate these into the strategy
- describe appropriate financial trading reporting procedures and documentation
- detect which relevant financial trading systems need to be used for strategy developed.

Assessment Conditions

Assessment must be conducted in a safe environment where evidence gathered demonstrates consistent performance of typical activities experienced in the financial markets field of work and include access to:

- office equipment, technology, software and consumables
- integrated financial software system and data.

Assessors must satisfy NVR/AQTF assessor requirements.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.education.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>