



Australian Government

Assessment Requirements for FNSFMK501 Analyse financial markets and information

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 1.0.

Performance Evidence

Evidence of the ability to:

- monitor and evaluate changes in market conditions using a range of data sources
- access market information and produce reports using relevant data analysis methods and techniques
- formulate and draw effective conclusions from the correct interpretation of quantitative and/or qualitative data analysis
- work within legal and ethical constraints.

Note: If a specific volume or frequency is not stated, then evidence must be provided at least once.

Knowledge Evidence

To complete the unit requirements safely and effectively, the individual must:

- describe the key roles played by intermediaries and issuers, and other participants including:
 - financial service providers
 - banks
 - brokers
 - investment banks
 - building societies
 - money brokers
 - financial companies
 - stockbrokers
 - futures brokers
 - retail and wholesale clients
 - ASX

- analyse the economic environment including:
 - characteristics and impacts of economic and business cycles
 - government monetary and fiscal policies
 - interest rates, exchange rates and inflation
 - trends and market development affecting the information being analysed
- describe key features of:
 - interrelationships between financial industry sectors and participants
 - structure and interrelationships within the financial markets
- compare and contrast sources of market and comparative data
- outline the key features and processes relating to:
 - methods of market data analysis
 - data collection and management systems
- discuss the significance of economic concepts to the analysis of financial markets and information
- describe the key features of:
 - relevant legislation including privacy and freedom of information legislation
 - organisational guidelines, goals and objectives
 - ethical constraints and codes of practice
- outline key theories of investment, portfolio management and management of investment risk.

Assessment Conditions

Assessment must be conducted in a safe environment where evidence gathered demonstrates consistent performance of typical activities experienced in the financial markets field of work and include access to:

- office equipment, technology, software and consumables
- financial services product information.

Assessors must satisfy NVR/AQTF assessor requirements.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>