



Australian Government

Assessment Requirements for FNSFMK403 Interpret financial markets information

Release: 1

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Modification History

Release	Comments
Release 1	This version first released with FNS Financial Services Training Package Version 1.0.

Performance Evidence

Evidence of the ability to:

- work within the legal and ethical constraints for financial markets operations and comply with organisational requirements
- source, collect and interpret, using appropriate parameters, relevant financial markets information
- make operational judgements using the correct interpretation of data.

Note: If a specific volume or frequency is not stated, then evidence must be provided at least once.

Knowledge Evidence

To complete the unit requirements safely and effectively, the individual must:

- identify key roles played by market participants, intermediaries and issuers including:
 - ASX
 - custodians
 - managed fund providers
 - retail and wholesale clients
 - superannuation fund providers
 - financial service providers
 - banks
 - brokers
 - building societies
 - financial companies
 - friendly societies
- interpret key features of the economic environment including:
 - broad characteristics and impacts of economic and business cycles

- government monetary and fiscal policies
- interest rates, exchange rates and inflation
- trends and market development affecting the information being analysed
- explain the key interrelationships between industry sectors and financial markets
- compare and contrast:
 - sources of market and comparative data
 - statistical reporting formats
 - data collection and management systems
- outline key parameters for evaluating information
- describe the key features of:
 - relevant legislation, including privacy and freedom of information legislation
 - ethical constraints and codes of practice
 - organisational policy, guidelines, goals and objectives
 - risk identification and mitigation techniques.

Assessment Conditions

Assessment must be conducted in a safe environment where evidence gathered demonstrates consistent performance of typical activities experienced in the financial markets field of work and include access to:

- office equipment, technology, software and consumables
- financial markets information sources.

Assessors must satisfy NVR/AQTF assessor requirements.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe>