



Australian Government

Assessment Requirements for DEFCPL007 Implement and manage technical regulatory framework compliance

Release: 1

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Modification History

Release 1. This is the first release of this unit of competency in the DEF Defence Training Package.

Performance Evidence

Evidence required to demonstrate competence in this unit must be relevant to and satisfy all of the requirements of the elements and performance criteria on at least one occasion and include:

- analysing data and information
- applying, monitoring and reporting relevant implementation processes
- applying relevant operational policies and procedures
- clarifying scope and level of technical regulatory framework activities
- consulting with and negotiating with relevant groups and individuals
- documenting and communicating compliance requirements
- identifying compliance requirements
- identifying, interpreting and analysing risk assessment requirements relevant to technical regulatory framework
- implementing technical compliance management system
- interpreting organisational role relevant to technical regulatory framework compliance management plans
- monitoring implementation of technical compliance management system.

Knowledge Evidence

Evidence required to demonstrate competence in this unit must be relevant to and satisfy all of the requirements of the elements and performance criteria and include knowledge of:

- breach management policies and processes including:
 - identification
 - classification
 - investigation
 - rectification
 - reporting breaches in compliance requirements
- compliance related management information systems
- continuous improvement processes for compliance including monitoring, evaluation and review
- documentation of compliance requirements
- elements of the technical regulatory framework compliance management systems

- liaison procedures with relevant internal and external personnel on compliance related matters
- processes for internal and external promulgation of information on compliance requirements and compliance program management system
- quantitative and qualitative data analysis techniques relevant to compliance related research
- relevant operational policies and procedures, and compliance standards
- relevant technical regulatory framework compliance management
- reporting processes and procedures on compliance management including:
 - breaches
 - rectification action
- research methods and techniques suitable for compliance related research projects
- sources of data relevant to compliance research
- specification of compliance management functions, accountabilities and responsibilities under a technical regulatory framework
- techniques and performance indicators for monitoring operation of a compliance program/management system.

Assessment Conditions

As a minimum, assessors must satisfy applicable regulatory requirements, which include requirements in the Standards for Registered Training Organisations current at the time of assessment.

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Assessment processes and techniques must be appropriate to the language, literacy and numeracy requirements of the work being performed and the needs of the candidate.

Assessment must occur in workplace operational situations. Where this is not appropriate, assessment must occur in simulated workplace operational situations that reflect workplace conditions.

Resources for assessment must include access to:

- a range of relevant exercises, case studies and/or other simulations
- relevant and appropriate materials, computer, software, legislation, guidelines, procedures and protocols relating to technical regulatory framework currently used in industry
- applicable documentation including workplace procedures, regulations, codes of practice and operation manuals.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=6bdbab1e-11ed-4bc9-9cba-9e1a55d4e4a9>