



Australian Government

RIIRIS601D Establish and maintain the risk management system

Release: 2

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Modification History

Release	Comment
1	This unit replaces RIIRI601A Establish and maintain the risk management system
2	Required frequency and volume of evidence amended in Performance evidence. Substantial amendments made in Assessment Conditions field, including: references to Industry Sectors, assessor and subject matter expert experience requirements, how assessment should be conducted and what it should confirm.

Application

This unit describes a participant's skills and knowledge required to establish and maintain the risk management system in the Resources and Infrastructure Industries.

This unit is appropriate for those working in management roles.

No licensing, legislation or certification requirements apply to this unit at the time of publication.

Elements and Performance Criteria

1. Plan and prepare to establish the framework for the risk management system	1.1 Access, interpret and apply risk management documentation, and ensure the work activity is compliant 1.2 Develop the policy and objectives that express the organisation's commitment to risk management 1.3 Establish and implement the structure and framework 1.4 Define, allocate and document responsibilities for risk management in job descriptions and duty statements
2. Establish processes to support the risk management system	2.1 Develop, document and communicate procedures covering risk identification, assessment, treatment, communication, consultation, monitoring and review 2.2 Provide or arrange appropriate development and/or training for personnel 2.3 Identify, obtain and maintain information sources to support the system, and make them available to personnel to implement 2.4 Provide information on known and intended process changes and enhancements to responsible personnel

	<p>2.5 Determine and make available organisation's criteria for assessing the acceptability of risks to responsible personnel</p> <p>2.6 Obtain and provide expert advice to responsible personnel</p>
<p>3. Plan and facilitate the implementation of the risk management system</p>	<p>3.1 Plan, schedule and document the systems coverage</p> <p>3.2 Monitor activities and achievement targets, and provide and focus resources to ensure the work plan is satisfied</p> <p>3.3 Provide support and encouragement to those responsible for the system activities</p> <p>3.4 Review and update the system work plan when changing circumstances are anticipated or occur</p>
<p>4. Audit the management processes</p>	<p>4.1 Formally audit risk management processes, including operating procedures and implementation processes, to ensure compliance and effectiveness</p> <p>4.2 Respond to changed requirements disclosed during audits in a systematic and timely manner</p> <p>4.3 Complete and retain risk management documentation including the reasons for and changes made to the system</p>
<p>5. Monitor completion of records and reports</p>	<p>5.1 Ensure all risk management documentation is produced and processed</p> <p>5.2 Ensure all risk management documentation is maintained</p>

Foundation Skills

Foundation skills essential to performance are explicit in the performance criteria of this unit. Further information is available in the Resources and Infrastructure Industry Training Package Companion Volume.

Unit Mapping Information

RIIRIS601A Establish and maintain the risk management system

Links

Companion Volume implementation guides are found in VETNet - <https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=88a61002-9a21-4386-aaf8-69c76e675272>
