



Australian Government

**Assessment Requirements for RIIRIS501D
Implement and maintain management
systems to control risk**

Release: 1

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Modification History

Not applicable.

Performance Evidence

Evidence is required to be collected that demonstrates a candidate's competency in this unit. Evidence must be relevant to the roles within this sector's work operations and satisfy all of the requirements of the performance criteria of this unit and include evidence that the candidate:

- locate and apply relevant documentation, policies and procedures
- demonstrates consistent timely conduct of implementing and maintaining management system to control risk that safely, effectively and efficiently meets the required outcomes on a minimum of one (1) occasion including:
 - planning, scheduling and documenting in writing how the management systems will be introduced, resourced, maintained, monitored and audited
 - developing the processes and procedures to support the risk management system
 - identifies risk management training needs of others and prepares training plans
 - provides clear and timely instruction and supervision to those involved in applying the site risk management system
 - maintains written records and prepares and produces risk management system reports
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Knowledge Evidence

The candidate must demonstrate knowledge of implementing and maintaining management systems to control risk through:

- roles and responsibilities of relevant personnel within the organisation
- what constitutes a risk and hazards on site
- risk assessment processes and exploring options for establishing a risk treatment processes
- writing reports to communicate procedural activity, breach or inadequacies to procedure and review process
- using effective communication skills, including questioning and active listening skills with supervisors and other employees
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Assessment Conditions

- An assessor of this unit must satisfy the requirements of the NVR/AQTF or their successors; and Industry regulations for certification and licensing; and,
- this unit is best assessed in the context of this sector's work environment;
- where personal safety or environmental damage are limiting factors, assessment may occur in a simulated environment provided it is realistic and sufficiently rigorous to cover all aspects of this sector's workplace performance, including environment, task skills, task management skills, contingency management skills and job role environment skills; and,
- this unit must be assessed using Resources and Infrastructure Industry sector specific policies, procedures, processes and operational manuals; and,
- assessor requirements differ between sectors as follows:
 - when assessing this unit in the context of the **Drilling** sector:

- an assessor must demonstrate the performance evidence, and knowledge evidence as outlined in this Unit of Competency, and through five (5) years of work in the Industry sector; or,
- where a co-assessment or partnership arrangement exists between a qualified assessor and an Industry technical expert, the Industry technical expert can hold the unit being assessed, and/or demonstrate equivalency of skills and knowledge at the unit level. An Industry technical expert must also demonstrate a minimum of three (3) years of continuous work in the Industry sector, with the preceding one (1) year in the unit they are co-assessing; or,
- when assessing this unit in the context of the **Metalliferous Mining** sector:
 - an assessor must demonstrate the skills and knowledge of this unit through five (5) years of current and continuous work with the Industry sector and must hold the TAE Assessor Skill Set or equivalent; or,
- when assessing this unit in the context of the **Coal Mining** sector:
 - an assessor must demonstrate the skills and knowledge of this unit through twelve (12) month work experience in an Industry relevant to the skills being assessed within the last three (3) years; and,
 - where the assessor does not have current experience a co-assessment or partnership arrangement needs to exist between the qualified assessor and an Industry technical expert/ subject matter expert. The Industry technical expert/subject matter expert must demonstrate competency in the unit being assessed, and be currently working in the sector with a minimum of twelve (12) months' work experience within the last three (3) years; or,
- when assessing this unit in the context of the **Extractive** sector
 - an assessor must demonstrate the skills and knowledge of this unit through five (5) years' work experience in the Industry sector; and,
 - where the assessor does not have current experience a co-assessment or partnership arrangement needs to exist between the qualified assessor and an Industry technical expert/ subject matter expert. The Industry technical expert/subject matter expert must hold the unit being assessed and be currently working in the sector with a minimum of two (2) years' of current work experience; or,
- when assessing this unit in the context of the **Civil Construction** sector
 - an assessor must demonstrate the skills and knowledge of this unit through five (5) years' work experience in the Industry sector; and,
 - where the assessor does not have current experience a co-assessment or partnership arrangement needs to exist between the qualified assessor and an Industry technical expert/ subject matter expert. The Industry technical expert/subject matter expert must
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Links

SkillsDMC RII Companion Volumes - <http://www.skillsdmc.com.au/>