

# PUADEFCM128A Make a Defence administrative decision

**Revision Number: 1** 



#### PUADEFCM128A Make a Defence administrative decision

# **Modification History**

Not applicable.

# **Unit Descriptor**

#### **Unit Descriptor**

This unit covers the competency required to manage quick assessment (QA) inquiries and to make administrative decisions in accordance with administrative law, policies and procedures.

In the context of this unit, administrative decisions are those that may adversely affect member's rights, interests and expectations and that are within the discretion of the commanding officer (CO) to make.

# **Application of the Unit**

#### **Application of the Unit**

This unit applies normally to Defence members who have been given command, either as an automatic consequence of appointment, or by an instrument of appointment, as a CO or as an administrative CO; in some circumstances it is by rank only, irrespective of the position held.

# **Licensing/Regulatory Information**

Not applicable.

# **Pre-Requisites**

Not applicable.

Approved Page 2 of 9

# **Employability Skills Information**

**Employability Skills** This unit contains employability skills.

Pre-requisite Unit/s Nil

# **Elements and Performance Criteria Pre-Content**

Elements describe the essential outcomes of a Unit of Competency.

Performance Criteria describe the required performance needed to demonstrate achievement of the element. Where bold italicised text is used, further information is detailed in the Required Skills and Knowledge and/or the Range Statement. Assessment of performance is to be consistent with the Evidence Guide.

## **Elements and Performance Criteria**

#### **ELEMENT**

#### PERFORMANCE CRITERIA

- 1. Prepare for an administrative decision
- 1.1 *Initial action* is determined following an *initiator*
- 1.2 *Organisational policies* and procedures are complied with
- 1.3 Quick assessment is *instigated*
- 1.4 Administrative sanction is *initiated*
- 2. Make an administrative decision
- 2.1 Factors are considered
- 2.2 Administrative decision is made in accordance with *decision making criteria* and policies
- 2.3 Stakeholders are informed of the decision and its reasons
- 2.4 Documentation is completed in accordance with organisational policies

Approved Page 3 of 9

# Required Skills and Knowledge

# REQUIRED SKILLS AND KNOWLEDGE

This describes the essential skills and knowledge and their level, required for this unit.

#### **Required Skills**

- apply criteria and principles
- communicate effectively in writing
- communicate effectively orally
- conduct interviews
- interpret quick assessment and inquiry reports
- interpret relevant policies
- lead individuals and groups
- make sound judgements
- manage work effectively
- undertake research

# Required Knowledge

- administrative sanctions
- closed inquiry (not public and results given to appointing commanding officer only)
- complaints and redress of grievance (ROG)
- evidence
- imposing authority
- initiating authority
- inquiry instigation
- inquiry monitoring
- inquiry planning
- relevant Australian Defence Force (ADF) policies
- QAs
- recommendation implementation
- reports
- tracking
- witnesses and potentially affected persons

Approved Page 4 of 9

# **Evidence Guide**

#### **EVIDENCE GUIDE**

The evidence guide provides advice on assessment and must be read in conjunction with the Performance Criteria, Required Skills and Knowledge, the Range Statement and the Assessment Guidelines for this Training Package.

Critical aspects for assessment and evidence required to demonstrate competency in this unit Assessment must confirm the ability to:

- locate and interpret appropriate guidance in relevant documents
- apply administrative decision making criteria to an ROG of sufficient complexity for which QA and routine inquiry reports are available.

### **Consistency in performance**

Competency should be demonstrated in a range of situations that could be expected in the workplace.

# Context of and specific resources for assessment

#### Context of assessment

Competency should be assessed in the workplace or in a simulated workplace environment.

#### Specific resources for assessment

There are no specific resource requirements for this unit.

Approved Page 5 of 9

#### **EVIDENCE GUIDE**

#### Method of assessment

This unit may be assessed with the following unit/s:

- PUADEFCM126A Conduct a quick assessment
- PUADEFCM127A Conduct a Defence administrative inquiry.

In a public safety environment assessment is usually conducted via direct observation in a training environment or in the workplace via subject matter supervision and/or mentoring, which is typically recorded in a competency workbook.

Assessment is completed using appropriately qualified assessors who select the most appropriate method of assessment.

Assessment may occur in an operational environment or in an industry-approved simulated work environment. Forms of assessment that are typically used include:

- direct observation
- interviewing the candidate
- journals and workplace documentation
- third party reports from supervisors
- written or oral questions.

Approved Page 6 of 9

# **Range Statement**

#### RANGE STATEMENT

The Range Statement relates to the Unit of Competency as a whole. It allows for different work environments and situations that may affect performance. *Bold italicised* wording in the Performance Criteria is detailed below.

# Administrative decisions may include

Applying administrative sanctions as an imposing authority (from a request and submission from an initiating authority)

Approval to live beyond 30 km from position

Dealing with a complaint or ROG

Eligibility for higher duties allowance

Granting leave (such as short-term, carer, parental, maternity, compassionate, long service, leave without pay, leave with holiday pay)

Imposing a formal warning

Member with dependents (unaccompanied)

Recognising Interdependent relationships

#### **Initial actions may include**

Determining decision is not within power (jurisdiction) to make

Determining whether the initiation has occurred within policy timeframes (for example, ROG for termination notice is submitted within fourteen days of notice issue, without exception)

Making a decision on a simple issue for which sufficient information has been supplied (resolution by the CO)

Making further examination of the issue

Offering alternative dispute resolution processes

Referring the matter to ADFIS and/or service police

Referring the matter to a superior authority

Taking no further action

## **Initiator may include**

Complaint or ROG being made

Incident occurring (for instance, an accident)

Request being made

Request for a review of a previous decision

Request for a decision as an imposing authority is

Approved Page 7 of 9

#### RANGE STATEMENT

received from an initiating authority

Organisational policies may include

ADF publications

**Defence Instructions** 

**Instigating a QA may** include

Confirming issue is connected with the scope of the CO's command

Determining need for a QA

Selecting and briefing a QA officer

Monitoring timeliness of assessment

Considering next action upon receipt of QA brief

Initiating administrative sanctions may include

Issuing a Notice to Show Cause as the initiating authority

Gathering evidence

Gathering specialist and required reports

Gathering statement of reasons from member

Considering response in determining next action

Monitoring timeliness of process

Referring collated material to an imposing authority for a decision

Factors may include

Considering inquiry reports:

- confirming Terms of Reference are satisfied and scope is not exceeded
- confirming evidence supports the findings
- accepting or rejecting the findings

Evidence/facts provided in performance appraisal reports, records of conversation, other official documentation

Matters raised in the member's response

Policy relied upon

Recommendations from subordinates/superiors

Specialist advice (legal, psychological, medical)

Decision making criteria include

Applying policy but not inflexibly or without proper regard to the merits and circumstances of the matter

Decision being reasonable

Approved Page 8 of 9

#### RANGE STATEMENT

Decision maker having the proper authority and jurisdiction (power)

Decision maker not being biased (perceived and actual)

Disregarding irrelevant factors while taking relevant considerations into account

Making decision after a fair hearing

Making decision while not under orders or dictation

Sufficient evidence (balance of probabilities [Briginshaw v Briginshaw])

Seeking legal advice where necessary

# **Unit Sector(s)**

Not applicable.

Approved Page 9 of 9