MARM015 Participate in investigating marine incidents
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Modification History
Release 1. New unit of competency.

Application
This unit involves the skills and knowledge required to participate in planning, conducting and reporting investigations of marine incidents that have resulted in, or have a potential to result in, injury or damage to persons, vessels, property or marine environment.

This unit applies to people working in the maritime industry as a domestic commercial vessel marine surveyor and may form part of accreditation requirements for surveyors under Australian legislation.

No licensing, legislative or certification requirements apply to this unit at the time of publication.

Pre-requisite Unit
Not applicable.

Competency Field
M – Marine Surveying

Unit Sector
Not applicable.

Elements and Performance Criteria
Elements describe the essential outcomes. Performance criteria describe the performance needed to demonstrate achievement of the element.

1 Undertake initial assessment of incident

1.1 Statutory and legal obligations are identified and relevant government agencies are advised as required

1.2 Key stakeholders and interested parties are identified and notified as appropriate
1.3 Factors affecting complexity of investigation are determined and surveyor competency to conduct investigation is determined based on required specialised skills and knowledge

1.4 Area is checked to ensure it is safe

1.5 Integrity of site and personnel is established and maintained according to legal requirements and to ensure objectivity of information collected

2 Participate in establishing investigation processes

2.1 Organisational policies and procedures, and national law for marine incident investigation are accessed and applied

2.2 Scope of investigation is defined taking account of legislative requirements and client instructions

2.3 Investigation team appropriate to level of responsibility in investigation is convened

2.4 Involvement of stakeholders and interested parties is managed according to legislative requirements

2.5 Resources and expert advice required to assist in incident assessment are identified and sourced

2.6 Barriers to investigation are identified and addressed

2.7 Development and implementation of action plans and timelines is ensured

3 Collect information and data for analysis

3.1 Sources of information and data are identified and accessed

3.2 Incident site, equipment and other evidence is inspected

3.3 Gathering of information and data by others is facilitated

3.4 Photographs, measurements and documentary evidence are taken and recorded, taking objectivity, confidentiality and legal implications into account

3.5 Site, evidence and necessary documentation is appropriately secured

4 Analyse information and data

4.1 Understanding and identification of conceptual basis for analysis is ensured

4.2 Timeline of events leading up to incident is constructed using vessel records and other available data
4.3 Causative event/s and conditions and circumstances that may have contributed to causative event are accurately identified and documented

4.4 Intervention points on timeline for prevention are identified

5 **Compile investigation report**

5.1 Results of analysis are documented in a format to suit required target audience and legal requirements

5.2 Report is phrased in objective terms, and evidence and reasons for conclusions are cited

5.3 Recommendations for prevention are included in report

5.4 Relevant information and data is disseminated to key personnel, stakeholders and external agencies as appropriate, following appropriate authorisation

5.5 Findings from report are used to develop further prevention strategies

**Foundation Skills**

Foundation skills essential to performance are explicit in the performance criteria of this unit of competency.

**Range of Conditions**

Range is restricted to essential operating conditions and any other variables essential to the work environment.

Relevant government agencies include one or more of the following:

- Australian Maritime Safety Authority (AMSA)
- Australian Transport Safety Bureau (ATSB)
- environment protection agencies
- maritime authorities
- police and other emergency services
- work health and safety/occupational health and safety (WHS/OHS) specialists

Stakeholders and interested parties include one or more of the following:

- community
- coroner
- government agencies, including WHS/OHS and emergency service agencies
• importers
• installers
• insurance companies
• managers
• manufacturers
• media
• naval architects and marine engineers
• organisation board or advisory council
• persons in control of workplaces
• politicians
• ship personnel
• sub-contractors
• suppliers and distributors
• unions
• workgroup members and people who may be exposed to similar situations

Complexity includes one or more of the following:
• administrative implications
• conflict of interest issues
• existence of secondary hazards
• international conventions
• involvement of external agencies
• language competencies of parties involved
• legal implications arising from incident or post incident related matters
• level of public or political interest
• number of other parties, including sub-contractors
• privacy laws
• seriousness of injury or other outcomes
• technical implications

Investigation team includes one or more of the following:
• emergency service personnel
• government representatives
• legal advisors and technical experts
• other surveyors
• photographers

Barriers to investigation includes one or more of the following:
• research data and analysis or testing equipment
• technical design information and data relevant to investigation
• changes to incident scene
• condition of witnesses
• cultural issues
• economic implications
• geographical location and/or accessibility
• lack of records
Conceptual basis for analysis includes one or more of the following:

- legal restrictions or limitations (temporary, short-term or long-term)
- length of time from when incident occurred/first identified
- limited resources available
- political and community stakeholder sensitivity
- ship personnel (attitude and/or desire to protect self and others)
- time limits imposed
- weather, tides
- focusing on ‘why’ and ‘how’ rather than ‘what’
- emphasising analysis of operations at time of incident
- encouraging an open minded, objective approach
- not focusing on individual behaviour or fault
- events that extend back in time as far as required, not just immediate events
- verbal reports from crew
- vessel records and logs
- cargo
- equipment failure
- fatigue
- key person absence
- number of crew
- onboard safety systems and WHS/OHS procedures
- stability
- type of:
  - equipment
  - vessel
- vessel operations
- weather
- environment protection agencies
- insurance agents
- maritime authorities
- organisation
- police or coroner
- vessel owner/s

Timeline of events includes one or more of the following:

- events that extend back in time as far as required, not just immediate events
- verbal reports from crew
- vessel records and logs
- cargo
- equipment failure
- fatigue
- key person absence
- number of crew
- onboard safety systems and WHS/OHS procedures
- stability
- type of:
  - equipment
  - vessel
- vessel operations
- weather
- environment protection agencies
- insurance agents
- maritime authorities
- organisation
- police or coroner
- vessel owner/s

Conditions and circumstances include one or more of the following:

- cargo
- equipment failure
- fatigue
- key person absence
- number of crew
- onboard safety systems and WHS/OHS procedures
- stability
- type of:
  - equipment
  - vessel
- vessel operations
- weather
- environment protection agencies
- insurance agents
- maritime authorities
- organisation
- police or coroner
- vessel owner/s

Target audience includes one or more of the following:

- environment protection agencies
- insurance agents
- maritime authorities
- organisation
- police or coroner
- vessel owner/s

Unit Mapping Information

This unit replaces and is equivalent to MARM5005A Participate in investigating marine incidents.
Links

Companion Volume implementation guides are found in VETNet -