Assessment Requirements for FNSINC503
Identify situations requiring complex ethical decision making
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Modification History

<table>
<thead>
<tr>
<th>Release</th>
<th>Comments</th>
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<tbody>
<tr>
<td>Release 1</td>
<td>This version first released with FNS Financial Services Training Package Version 3.0.</td>
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Performance Evidence

Evidence of the ability to:

- identify three workplace situations that require ethical decisions to be made, and:
  - analyse the ethical frameworks that apply to each situation
  - determine the legal requirements and ethical dimensions of each situation including:
    - identifying variances between legal requirements and ethical dimensions
    - evaluating the impact of variances between legal and ethical requirements on decision making
  - evaluate the factors in each situation that could inhibit ethical decision making
  - determine the legal and organisational protections available when responding to each ethical situation.

Note: If a specific volume or frequency is not stated, then evidence must be provided at least once.

Knowledge Evidence

The candidate must be able to demonstrate the following knowledge to effectively complete the tasks outlined in the elements and performance criteria of this unit, and to manage tasks and reasonably foreseeable contingencies in the context of the work role.

- Industry context in which the unit is being delivered, and common job roles in that industry
- Impact of remuneration and incentives, organisational culture and situational contexts on an individual’s actions and behaviours in the workplace
- Theories of group dynamics in social psychology in relation to how people respond when confronted with an ethical situation that is inconsistent with their values
- Frameworks for ethical decision making, problem solving and policy setting
• Organisational artefacts relating to ethics, values and standards
• Key principles of industry legislation, regulations, codes, and other instruments required to perform work described in the performance evidence
• Procedures, strategies, or protocols to identify and address unethical conduct

Assessment Conditions

Assessment must be conducted in a safe environment where evidence gathered demonstrates consistent performance of typical activities experienced in the industry capability field and include access to:

• case studies representing situations of comparable context and complexity to those reasonably likely to occur in the industry
• instruments, artefacts or other objects or materials required to effectively complete the elements, performance criteria and evidence requirements of the unit.

Assessors of this unit must satisfy the assessor requirements in applicable vocational education and training legislation, frameworks and/or standards.

Links

Companion volumes are available from VETNet. - https://vetnet.education.gov.au/Pages/TrainingDocs.aspx?q=c7200cc8-0566-4f04-b76f-e89fd6f102fe