



Australian Government

FNS41115 Certificate IV in Financial Markets Operations

Release 1

FNS41115 Certificate IV in Financial Markets Operations

Modification History

Release	Comments
Release 1	This qualification first released with FNS Financial Services Training Package version 1.0

Qualification Description

This qualification reflects job roles in financial markets office operations. Individuals in these roles apply specialist knowledge and skills to work autonomously and exercise judgement in completing routine and non-routine activities relating to confirming, settling and reconciling financial transactions and assisting in settling loans.

Licensing/Regulatory Information

No licensing or certification requirements apply to this qualification at the time of publication. However, some units will specify relevant licensing, legislative and/or regulatory requirements that impact on the unit. Refer to the FNS Implementation Guide Companion Volume for guidance on requirements.

Entry Requirements

Nil.

Packaging Rules

Total number of units = 15

10 core units plus

5 elective units

The elective units consist of:

- 3 from the electives below

Of the remaining 2 units:

- 2 may be from the electives
- 2 may be from a Diploma qualification in the FNS Training Package
- 2 may be from a Certificate IV or Diploma in any endorsed training package or accredited course.

The elective units chosen must be relevant to the work outcome and meet local industry needs.

Core Units

BSBCOM501 Identify and interpret compliance requirements

BSBFIA301 Maintain financial records

BSBRSK401 Identify risk and apply risk management processes

BSBWOR404 Develop work priorities

FNSACM401 Evaluate and authorise payment requests

FNSFMK401 Reconcile financial transactions

FNSFMK402 Develop and maintain knowledge of financial markets products

FNSFMK501 Analyse financial markets and information

FNSFMK504 Complete confirmation and settlement processes

FNSINC401 Apply principles of professional practice to work in the financial services industry

Elective Units

BSBADM405 Organise meetings

BSBADM502 Manage meetings

BSBINM302 Utilise a knowledge management system

BSBITU402 Develop and use complex spreadsheets

BSBLEG301 Apply knowledge of the legal system to complete tasks

BSBLDR402 Lead effective workplace relationships

BSBWHS303 Participate in WHS hazard identification, risk assessment and risk control

BSBWOR501 Manage personal work priorities and professional development

FNSFMK403 Interpret financial markets information

Qualification Mapping Information

Code and title current version	Code and title previous version	Comments	Equivalence status
FNS41115 Certificate IV in Financial Markets Operations	FNS41110 Certificate IV in Financial Markets Operations	Updated to meet Standards for Training Packages.	Equivalent unit

Links

Companion volumes available from the IBSA website:

http://www.ibsa.org.au/companion_volumes - http://www.ibsa.org.au/companion_volumes