



Australian Government

**Assessment Requirements for TLIX4033
Apply technical regulatory framework
compliance management systems**

Release: 1

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Modification History

Release 1. This is the first release of this unit of competency in the TLI Transport and Logistics Training Package.

Performance Evidence

Evidence required to demonstrate competence in this unit must be relevant to and satisfy all of the requirements of the elements and performance criteria on at least one occasion and include:

- adhering to and applying relevant data collection, storage and retrieval requirements
- applying technical regulatory framework compliance management system knowledge to work being performed and to guide problem solving
- applying technical regulatory framework knowledge relevant to work being performed
- identifying relationships between organisational goals and recognising how own work contributes to achieving these goals
- operating equipment and systems relevant to technical regulatory framework activities
- using appropriate information technology and software.

Knowledge Evidence

Evidence required to demonstrate competence in this unit must be relevant to and satisfy all of the requirements of the elements and performance criteria and include knowledge of:

- configuration management
- elements of technical regulatory framework compliance management systems including:
 - breach management policies and processes including the identification, classification, investigation, rectification and reporting of breaches in compliance requirements
 - compliance complaints handling systems
 - compliance related management information systems
 - compliance reporting procedures
 - continuous improvement processes for compliance including validation and review
 - corporate compliance induction and training processes
 - documentation of compliance requirements relevant to the specified work role/area
 - liaison procedures with relevant internal personnel on compliance related matters
 - processes for internal promulgation of information on compliance requirements
 - reporting processes on compliance including reports on breaches and rectification

action

- specification of local work area compliance management functions, authorities, accountabilities and responsibilities under a technical regulatory framework
- techniques and performance indicators for validating individual application of a compliance system
- own role in relation to wider organisational or project context
- quantitative and qualitative data analysis techniques relevant to compliance applications
- relevant Australian Standards and international standards
- sources of data relevant to compliance, including environmental and sustainability issues
- technical regulatory framework compliance requirements that are based on:
- codes of practice
 - environmental, sustainability policies and legislation
 - internal policies
 - organisational policy and procedures
 - standard operating procedures
 - standards
- techniques suitable for applying compliance related systems and activities.

Assessment Conditions

As a minimum, assessors must satisfy applicable regulatory requirements, which include requirements in the *Standards for Registered Training Organisations* current at the time of assessment.

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Assessment must occur in workplace operational situations where it is appropriate to do so; where this is not appropriate, assessment must occur in simulated workplace operational situations that replicate workplace conditions.

Assessment processes and techniques must be appropriate to the language, literacy and numeracy requirements of the work being performed and the needs of the candidate.

Resources for assessment include:

- a range of relevant exercises, case studies and/or simulations
- relevant and appropriate materials, tools, equipment and personal protective equipment currently used in industry
- applicable documentation including workplace procedures, regulations, codes of practice and operation manuals
- computer and relevant software
- legislation, guidelines, procedures and protocols relating to technical regulatory

framework compliance management system

- technical regulatory framework compliance management systems plans.

Links

Companion Volume implementation guides are found in VETNet -

<https://vetnet.gov.au/Pages/TrainingDocs.aspx?q=df441c6e-213d-43e3-874c-0b3f7036d851>